## STRONGLY PRODUCTIVE ULTRAFILTERS ON SEMIGROUPS

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ABSTRACT. We prove that if S is a commutative semigroup with well-founded universal semilattice or a solvable inverse semigroup with well-founded semilattice of idempotents, then every strongly productive ultrafilter on S is idempotent. Moreover we show that any very strongly productive ultrafilter on the free semigroup with countably many generators is sparse, answering a question of Hindman and Legette Jones.

### 1. Introduction

Let S be a multiplicatively denoted semigroup. If  $\vec{x} = (x_n)_{n \in \omega}$  is a sequence of elements of S, then the *finite products set* associated with  $\vec{x}$ , denoted by  $FP(\vec{x})$ , is the set of products (taken in increasing order of indices)

$$\prod_{i \in a} x_i \in S$$

where a ranges among the finite subsets of  $\omega$ . If  $k \in \omega$  then  $\operatorname{FP}_k(\vec{x})$  stands for the FP-set associated with the sequence  $(x_{n+k})_{n \in \omega}$ . A subset of S is called an FP-set if it is of the form  $\operatorname{FP}(\vec{x})$  for some sequence  $\vec{x}$  in S, and an IP-set if it contains an FP-set (see [12, Definition 16.3]). An ultrafilter p on S (a gentle introduction to ultrafilters can be found in [2, Appendix B]) is  $strongly\ productive$  as in [9, Section 1]) if it has a basis of FP-sets. This means that for every  $A \in p$  there is an FP-set contained in A that is a member of p. When S is an additively denoted commutative semigroup, then the finite products sets are called  $finite\ sums\ sets$  or FS-sets and denoted by  $\operatorname{FS}(\vec{x})$ . Moreover strongly productive ultrafilters are called in this context  $strongly\ summable$  (see [10, Definition 1.1]).

The concept of strongly summable ultrafilter was first considered in the case of the semigroup of positive integers in [8] by Hindman upon suggestion of van Douwen (see also the notes at the end of [12, Chapter 12]). Later Hindman, Protasov, and Strauss studied in [10] strongly summable ultrafilters on arbitrary abelian groups. Theorem 2.3 in [10] asserts that any strongly summable ultrafilter on an abelian group G is idempotent, i.e. an idempotent element of the semigroup compactification  $\beta G$  of G, which can be seen as the collection of all ultrafilters on G. Similarly, strongly productive ultrafilters on a free semigroup are also idempotent by [9, Lemma 2.3].

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In this paper we provide a common generalization of these results to a class of semigroups containing in particular all commutative semigroups with well-founded universal semilattice, and solvable inverse semigroups with well-founded semilattice of idempotents. (The notion of universal semilattice of a semigroup is presented in [6, Section III.2]. Inverse semigroups are introduced in [6, Section II.2], and within these the class of solvable semigroups is defined in [15, Definition 3.2]. Solvable groups are the solvable inverse semigroups with exactly one idempotent element by [15, Theorem 3.4].)

**Theorem 1.1.** If S is either a commutative semigroup with well-founded universal semilattice, or a solvable inverse semigroup with well-founded semilattice of idempotents, then every strongly productive ultrafilter on S is idempotent.

In order to prove Theorem 1.1 we find it convenient to consider the following strengthening of the notion of strongly productive ultrafilter:

**Definition 1.2.** A nonprincipal strongly productive ultrafilter p on a semigroup S is regular if it contains an element B with the following property: Whenever  $\vec{x}$  is a sequence in S such that  $FP(\vec{x}) \subset B$ , the set  $x_0 FP_1(\vec{x})$  does not belong to p.

**Remark 1.3.** Suppose that S,T are semigroups,  $f:S\to T$  is a semigroup homomorphism, and p is a strongly productive ultrafilter on S. Denote by q the ultrafilter on T defined by  $B\in q$  if and only if  $f^{-1}[B]\in p$  (note that q is the image of p under the unique extension of f to a continuous function from the Čech-Stone compactification of f to the Čech-Stone compactification of f. It is easy to see that f is a strongly productive ultrafilter on f. Moreover if f is regular then f is regular.

We will show that the notions of strongly productive and regular strongly productive ultrafilter coincide for the classes of semigroups considered in Theorem 1.1.

**Theorem 1.4.** If S is either a commutative semigroup with well-founded universal semilattice, or a solvable inverse semigroup with well-founded semilattice of idempotents, then every nonprincipal strongly productive ultrafilter on S is regular.

The universal semilattice of a semigroup S is the quotient of S by the smallest semilattice congruence  $\mathcal N$  on S, see [6, Section III.2]. When S is a commutative inverse semigroup the set E(S) of idempotent elements of S is a semilattice, and the restriction to E(S) of the quotient map from S to  $S/\mathcal N$  is an isomorphism from E(S) onto  $S/\mathcal N$ . Recall also that an ordered set is said to be well-founded if every nonempty subset has a least element.

Although not using this terminology, there is a well-known argument showing that any regular strongly productive ultrafilter is idempotent, see for example [12, Theorem 12.19], or [9, Lemma 2.3]. We reproduce the argument in Lemma 1.5 below for convenience of the reader. Using this fact, Theorem 1.1 will be a direct consequence of Theorem 1.4.

**Lemma 1.5.** Suppose that S is a semigroup, and p is an ultrafilter on S. If p is regular strongly productive, then p is idempotent.

*Proof.* Fix an element B of p witnessing the fact that p is regular. Suppose that A is an element of p and  $\vec{x}$  is a sequence in S such that  $FP(\vec{x}) \subset A \cap B$ . Since

$$FP(\vec{x}) = \{x_0\} \cup x_0 FP_1(\vec{x}) \cup FP_1(\vec{x}),$$

and p is nonprincipal and regular, it follows that  $\operatorname{FP}_1(\vec{x}) \in p$ . Using this argument, one can show by induction that  $\operatorname{FP}_n(\vec{x}) \in p$  for every  $n \in \omega$ . Now notice that, if  $x = \prod_{i \in a} x_i \in \operatorname{FP}(\vec{x})$  and  $n = \max(a) + 1$ , then  $x \operatorname{FP}_n(\vec{x}) \subseteq \operatorname{FP}(\vec{x}) \subseteq A$ . Therefore  $\operatorname{FP}_n(\vec{x}) \subseteq x^{-1}A$  and since the former set is an element of p, so is the latter. Hence

$$\operatorname{FP}(\vec{x}) \subset \left\{ x \in S : x^{-1}A \in p \right\}$$

and so the latter set belongs to p. This shows that p is idempotent.

To our knowledge it is currently not known if the existence of a semigroup S and a nonprincipal strongly productive ultrafilter on S that is not idempotent is consistent with the usual axioms of set theory. We think that Theorem 1.1 as well as [10, Theorem 2.3] provide evidence that for all semigroups S, every strongly productive ultrafilter on S should be idempotent.

Conjecture 1.6. Every strongly productive ultrafilter on an arbitrary semigroup is idempotent.

This paper is organized as follows: In Section 2 we introduce the notion of IP-regular (partial) semigroup and observe that IP-regular semigroups satisfy the conclusion of Theorem 1.4. In Section 3 we show that commutative cancellative semigroups are IP-regular. In Section 4 we record some closure properties of the class of IP-regular semigroups, implying in particular that all (virtually) solvable groups are IP-regular. In Section 5 we present the proof of Theorem 1.4. Finally in Section 6 we discuss sparseness of strongly productive ultrafilters, and show that every very strongly productive ultrafilter on the free semigroup with countably many generators is sparse, answering Question 3.8 from [9].

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## 2. IP-regularity

A partial semigroup as defined in [7, Section I.3] is a set P endowed with a partially defined (multiplicatively denoted) operation such that for every  $a, b, c \in P$ 

$$(ab)c = a(bc)$$

whenever both (ab)c and a(bc) are defined. Observe that in particular every semigroup is a partial semigroup. Moreover any subset of a partial semigroup is naturally endowed with a partial semigroup structure when one considers the restriction of the operation. An element a of a partial semigroup P is idempotent if  $a \cdot a$  is defined and equal to a. The set of idempotent elements of P is denoted by E(P). The notion of FP-set and IP-set admit straightforward generalizations to the framework of partial semigroups. If  $\vec{x}$  is a sequence of elements of a partial semigroup P such that all the products (taken in increasing order of indices)

$$\prod_{i \in a} x_i$$

where a is a finite subset of  $\omega$  are defined, then the FP-set FP( $\vec{x}$ ) is the set of all such products. A subset of P is an IP-set if it contains an FP-set. Analogous to the case of semigroups, an ultrafilter p on a partial semigroup P is strongly productive if it is has a basis of FP-sets. A strongly productive ultrafilter is regular if it contains

a set B with the following property: If  $\vec{x}$  is a sequence in P such that all finite products from  $\vec{x}$  are defined and belong to B, then  $x_0 \operatorname{FP}_1(\vec{x})$  does not belong to p.

**Definition 2.1.** A partial semigroup P is strongly IP-regular if for every sequence  $\vec{x}$  in P such that all the finite products from  $\vec{x}$  are defined the set

$$x_0 \operatorname{FP}_1(\vec{x})$$

is not an IP-set.

Since a subset of a partial semigroup is still a partial semigroup, we can speak about strongly IP-regular subsets of a partial semigroups, which are just strongly IP-regular partial semigroups with respect to the induced partial semigroup structure.

**Definition 2.2.** A partial semigroup P is IP-regular if  $P \setminus E(P)$  is the union of finitely many strongly IP-regular sets.

It is immediate from the definition that a partial semigroup is IP-regular whenever it is the union of finitely many IP-regular subsets (i.e. subsets which are IPregular partial semigroups with respect to the induced partial semigroup structure).

**Remark 2.3.** Any subset of a (strongly) IP-regular partial semigroup is (strongly) IP-regular. Any finite partial semigroup is strongly IP-regular.

We will show in Section 3 that every cancellative commutative semigroup is IP-regular. The relevance of the notion of IP-regularity stems from the fact that any IP-regular group satisfies the conclusion of Theorem 1.4. The same is in fact true for any IP-regular partial semigroup with finitely many idempotent elements.

**Lemma 2.4.** If S is an IP-regular partial semigroup with finitely many idempotent elements, then every nonprincipal strongly productive ultrafilter on S is regular.

*Proof.* Suppose that p is a strongly summable ultrafilter on S. Since p is nonprincipal,  $S \setminus E(S) \in p$ . Given that S is IP-regular, the ultrafilter p must have a strongly IP-regular member A. It is clear that A witnesses the fact that p is regular.  $\square$ 

The class of IP-regular partial semigroups has interesting closure properties. We have already observed that a subset of an IP-regular partial semigroup is IP-regular. Moreover the inverse image of an IP-regular partial semigroup under a partial homomorphism is IP-regular. Recall that a partial homomorphism from a partial semigroup P to a partial semigroup Q is a function  $f: P \to Q$  such that for every  $a, b \in P$  such that ab is defined, f(a)f(b) is defined and f(ab) = f(a)f(b)

**Lemma 2.5.** Suppose that  $f: P \to Q$  is a partial homomorphism. If Q is IP-regular and  $f^{-1}[E(Q)]$  is IP-regular, then P is IP-regular.

*Proof.* Observe that the image of an IP-set under a partial homomorphism is an IP-set. It follows that  $f^{-1}[B]$  is strongly IP-regular whenever  $B \subset Q \setminus E(Q)$  is strongly IP-regular. The fact that P is IP-regular follows easily from these observations.

In particular Lemma 2.5 guarantees that the extension of an IP-regular group by an IP-regular group is IP-regular. More generally one can consider groups admitting a subnormal series with IP-regular factor groups. Recall that a subnormal series of a group G is a finite sequence (a) of subgroups of G such that  $A_0 = \{1_G\}$ ,  $A_n = G$ ,

and  $A_i \subseteq A_{i+1}$  for every  $i \in n$ . The quotients  $A_{i+1}/A_i$  for  $i \in n$  are called *factor groups* of the series. Proposition 2.6 can be easily obtained from Lemma 2.5 by induction on the length of the subnormal series.

**Proposition 2.6.** Suppose that G is a group, and  $(A_i)_{i\in n}$  is a subnormal series of G. If the factor groups  $A_{i+1}/A_i$  are IP-regular for all  $i\in n$ , then G is IP-regular.

A consequence of Proposition 2.6 is that solvable groups are IP-regular. This follows from the facts that solvable groups are exactly those that admit a subnormal series where all the factor groups are abelian, and that all abelian groups are IP-regular. The latter fact will be proved in the following section.

### 3. Cancellative commutative semigroups

Throughout this section all (partial) semigroups are additively denoted and assumed to be cancellative and commutative.

**Proposition 3.1.** Every cancellative commutative semigroup is IP-regular (as in Definition 2.2).

A key role in the proof of Proposition 3.1 is played by the notion of rank function.

**Definition 3.2.** A rank function on a cancellative commutative partial semigroup P is a function  $\rho$  from P to a well-ordered set with the property that if  $\vec{x}$  is a sequence in P such that all finite sums from  $\vec{x}$  are defined, then the two following conditions are satisfied:

- (1) The restriction of  $\rho$  to the range  $\{x_n | n \in \omega\}$  of the sequence  $\vec{x}$  is a finite-to-one function, and
- (2) if  $\rho(x_n) \ge \rho(x_0)$  for every  $n \in \omega$  then  $x_0 + \mathrm{FS}_1(\vec{x})$  is not an IP-set.

Remark 3.3 provides an example of a rank function.

**Remark 3.3.** If  $\rho$  is a function from P to a well order such that  $\rho(x+y) = \min \{\rho(x), \rho(y)\}$  and  $\rho(x) \neq \rho(y)$  whenever  $x, y \in P$  and x+y is defined, then  $\rho$  is a rank function on A.

The relevance of rank functions for the proof of Proposition 3.1 is stated in Lemma 3.4. Recall that the family of IP-sets of a (partial) semigroup P is partition regular (see [12, Corollary 5.15]). This means that if  $\mathfrak F$  is a finite family of subsets of P and  $\bigcup \mathfrak F$  is an IP-set, then  $\mathfrak F$  contains an IP-set. This fact will be used in the proof of Lemmas 3.4 and 3.6

**Lemma 3.4.** If there is a rank function on a partial semigroup P, then P is strongly IP-regular.

*Proof.* Fix a rank function  $\rho$  from P to a well-ordered set. Suppose that  $\vec{x}$  is a sequence in P such that all the finite sums are defined. We claim that  $x_0 + \mathrm{FS}_1(\vec{x})$  is not an IP-set. Since  $\rho$  restricted to the range of  $\vec{x}$  is finite-to-one, it is possible to pick a permutation  $\sigma$  of  $\omega$  such that

$$\rho\left(x_{\sigma(n)}\right) \le \rho\left(x_{\sigma(m)}\right)$$

for every  $n \in m \in \omega$ . Define  $y_n = x_{\sigma(n)}$  and  $\vec{y} = (y_n)_{n \in \omega}$ . Observe that if  $x_0 = y_{n_0}$  then

$$x_{0} + FS_{1}(\vec{x})$$

$$= (y_{n_{0}} + FS_{n_{0}+1}(\vec{y})) \cup (y_{n_{0}} + FS((y_{i})_{i=0}^{n_{0}-1})) \cup (y_{n_{0}} + FS((y_{i})_{i=0}^{n_{0}-1}) + FS_{n_{0}+1}(\vec{y})).$$

Applying the hypothesis that  $\rho$  is a rank function to the sequence  $(y_{n_0+k})_{k\in\omega}$ , it follows that

$$y_{n_0} + FS_{n_0+1}(\vec{y})$$

is not an IP-set. Now for every  $y = \sum_{i \in a} y_i \in FS((y_i)_{i \in n_0})$ , if  $m = \min(a)$  then we have that

$$y_{n_0} + y + FS_{n_0+1}(\vec{y}) \subset y_m + FS_{m+1}(\vec{y})$$

and since the latter is not an IP-set (by applying the fact that  $\rho$  is a rank function to the sequence  $(y_{m+k})_{k\in\omega}$ ), neither is the former. Finally

$$y_{n_0} + \operatorname{FS}\left((y_i)_{i \in n_0}\right)$$

is finite and hence not an IP-set. This allows one to conclude that

$$x_0 + FS_1(\vec{x})$$

is not an IP-set, as claimed.

Denote in the following by  $\mathbb{R}/\mathbb{Z}$  the quotient of  $\mathbb{R}$  by the subgroup  $\mathbb{Z}$ . It is a well known fact that any commutative cancellative semigroup embeds into an abelian group, see [7, Proposition II.3.2]. Moreover any abelian group can be embedded in a divisible abelian group, and a divisible abelian group in turn can be embedded into a direct sum of copies of  $\mathbb{R}/\mathbb{Z}$  (see for example [5, Theorems 24.1 and 23.1]). It follows that every cancellative commutative semigroup is a subsemigroup of a direct sum  $(\mathbb{R}/\mathbb{Z})^{\oplus \kappa}$  of  $\kappa$  copies of  $\mathbb{R}/\mathbb{Z}$  for some cardinal  $\kappa$ . Therefore it is enough to prove Proposition 3.1 for  $(\mathbb{R}/\mathbb{Z})^{\oplus \kappa}$ . The proof of this fact will occupy the rest of this section.

Let us fix a cardinal  $\kappa$ . If  $x \in (\mathbb{R}/\mathbb{Z})^{\oplus \kappa}$  and  $\alpha \in \kappa$  then  $\pi_{\alpha}(x)$  denotes the  $\alpha$ -th coordinate of x. Elements of  $\mathbb{R}/\mathbb{Z}$  will be freely identified with their representatives in  $\mathbb{R}$  (thus we might write something like  $t \neq 0$ , and this really means  $t \notin \mathbb{Z}$ ), and if we need to specify a particular representative, we will choose the unique such in [0,1). Consider the partition

$$(\mathbb{R}/\mathbb{Z})^{\oplus \kappa} = C \cup B \cup \{0\}$$

of  $(\mathbb{R}/\mathbb{Z})^{\oplus \kappa}$ , where B is the set of elements of  $(\mathbb{R}/\mathbb{Z})^{\oplus \kappa}$  of order 2.

**Lemma 3.5.** The subset B of  $(\mathbb{R}/\mathbb{Z})^{\oplus \kappa}$  is strongly IP-regular.

*Proof.* Observe that  $B \cup \{0\}$  is a subgroup of  $(\mathbb{R}/\mathbb{Z})^{\oplus \kappa}$  isomorphic to the direct sum of  $\kappa$  copies of  $\mathbb{Z}/2\mathbb{Z}$ . Thus  $B \cup \{0\}$  has the structure of  $\kappa$ -dimensional vector space over  $\mathbb{Z}/2\mathbb{Z}$ . If  $\vec{x}$  is a sequence in B then  $FS(\vec{x}) \cup \{0\}$  is the vector space generated by  $\vec{x}$ . Moreover the sequence  $\vec{x}$  is linearly independent if and only if  $0 \notin FS(\vec{x})$  (see [4, Proposition 4.1]). Thus if  $\vec{x}$  is a sequence in B such that  $FS(\vec{x}) \subset B$  then  $\vec{x}$  is a linearly independent sequence, and hence any element of  $FS(\vec{x})$  can be written in a unique way as a sum of elements of the sequence  $\vec{x}$ . In particular  $x_0 + FS_1(\vec{x})$ 

consists of those finite sums  $\sum_{i \in a} x_i$  such that  $0 \in a$ . Thus if a, b are finite subsets of  $\omega \setminus 1$ , then so is  $a \triangle b$ , hence

$$x_0 + \sum_{i \in a} x_i + x_0 + \sum_{i \in b} x_i = \sum_{i \in a \wedge b} x_i \notin x_0 + \text{FS}_1(\vec{x}).$$

This shows that whenever  $x, y \in x_0 + \mathrm{FS}_1(\vec{x})$  then  $x + y \notin x_0 + \mathrm{FS}_1(\vec{x})$ , which implies that  $x_0 + \mathrm{FS}_1(\vec{x})$  is not an IP-set and B is IP-regular.

It remains to show now that C is IP-regular. Elements  $x \in C$  have order strictly greater than 2, thus there is at least one  $\alpha < \kappa$  such that  $\pi_{\alpha}(x) \notin \left\{0, \frac{1}{2}\right\}$ , hence it is possible to define the function  $\mu : C \to \kappa$  by

$$\mu(x) = \min \left\{ \alpha \in \kappa : \pi_{\alpha}(x) \notin \left\{ 0, \frac{1}{2} \right\} \right\}.$$

Consider

$$C_{1} = \left\{ x \in C : \pi_{\mu(x)}(x) = \frac{1}{4} \right\};$$

$$C_{3} = \left\{ x \in C : \pi_{\mu(x)}(x) = \frac{3}{4} \right\};$$

$$C_{2} = \left\{ x \in C : \pi_{\mu(x)}(x) \notin \left\{ \frac{1}{4}, \frac{3}{4} \right\} \right\}.$$

Observe that

$$C = C_1 \cup C_2 \cup C_3$$

is a partition of C.

**Lemma 3.6.** The function  $\mu$  restricted to  $C_1$  is a rank function on  $C_1$  as in Definition 3.2.

Proof. Suppose that  $\vec{x}$  is a sequence in  $(\mathbb{R}/\mathbb{Z})^{\oplus \kappa}$  such that  $\mathrm{FS}(\vec{x}) \subset C_1$ . We will show that the function  $\mu$  restricted to  $\{x_n | n \in \omega\}$  is at most two-to-one, in particular finite-to-one. This is because if  $n, m, k \in \omega$  are three distinct numbers such that  $\mu(x_n) = \mu(x_m) = \mu(x_k) = \alpha$ , then for  $\beta < \alpha$  we get that  $\pi_{\beta}(x_n + x_m + x_k)$  is an element of  $\{0, \frac{1}{2}\}$  because so are  $\pi_{\beta}(x_n), \pi_{\beta}(x_m), \pi_{\beta}(x_k)$ . On the other hand,  $\pi_{\alpha}(x_n + x_m + x_k) = \frac{3}{4}$ , which shows that  $\mu(x_n + x_m + x_k) = \alpha$  but  $x_n + x_m + x_k \in C_3$ , a contradiction.

Now assume also that  $\alpha = \mu(x_0) \leq \mu(x_i)$  for every  $i \in \omega$ . By the previous paragraph, there is at most one  $n \in \omega \setminus 1$  such that  $\mu(x_n) = \mu(x_0) = \alpha$ . Thus the first case is when there is such n. The first thing to notice is that for each  $k \in \omega \setminus \{0, n\}$ , we have that  $\pi_{\alpha}(x_k) = 0$ . This is because otherwise, since  $\mu(x_k) > \alpha$  we would have that  $\pi_{\alpha}(x_k) = \frac{1}{2}$  and so  $\pi_{\alpha}(x_0 + x_k) = \frac{3}{4}$ . Therefore by an argument similar to that in the previous paragraph, we would also have  $\mu(x_0 + x_k) = \alpha$ , which would imply that  $x_0 + x_k \in C_3$ , a contradiction. Now write

$$x_0 + \mathrm{FS}_1(\vec{x}) = \{x_0 + x_n\} \cup \left(x_0 + \mathrm{FS}((x_k)_{k \in \omega \setminus \{0, n\}})\right) \cup \left(x_0 + x_n + \mathrm{FS}((x_k)_{k \in \omega \setminus \{0, n\}})\right)$$

Clearly  $\{x_0 + x_1\}$  is not an IP-set, as it is finite. Now since  $\pi_{\alpha}(x_k) = 0$  for  $i \notin \omega \setminus \{0, n\}$ , it follows that every element  $x \in x_0 + \mathrm{FS}((x_k)_{k \in \omega \setminus \{0, n\}})$  must satisfy  $\pi_{\alpha}(x) = \frac{1}{4}$ , which implies that  $x_0 + \mathrm{FS}((x_k)_{k \in \omega \setminus \{0, n\}})$  cannot contain the sum of any two of its elements and consequently it is not an IP-set. Similarly, every element

 $x \in x_0 + x_n + FS((x_k)_{k \in \omega \setminus \{0,n\}})$  satisfies  $\pi_{\alpha}(x) = \frac{1}{2}$ , so this set is, by the same argument, not an IP-set. Hence  $x_0 + FS_1(\vec{x})$  is not an IP-set.

Now if there is no such n, i.e. if  $\mu(x_k) > \mu(x_0) = \alpha$  for all k > 0, then arguing as in the previous paragraph we get that  $\pi_{\alpha}(x_k) = 0$  for all k > 0. Hence every element  $x \in x_0 + \mathrm{FS}_1(\vec{x})$  satisfies that  $\pi_{\alpha}(x) = \frac{1}{4}$ , therefore the set  $x_0 + \mathrm{FS}_1(\vec{x})$  cannot be an IP-set. This concludes the proof that  $\mu$  is a rank function on  $C_1$ .  $\square$ 

Considering the fact that the function  $t \mapsto -t$  is an automorphism of  $(\mathbb{R}/\mathbb{Z})^{\oplus \kappa}$  mapping  $C_1$  onto  $C_3$  and preserving  $\mu$  allows one to deduce from Lemma 3.6 that  $\mu$  is a rank function on  $C_3$  as well. Thus it only remains to show that  $C_2$  is IP-regular.

Define

$$Q_{i,j} = \left\{ x \in C_2 : \pi_{\mu(x)}(x) \in \bigcup_{m \in \omega} \left[ \frac{i}{4} + \frac{1}{2^{3m+j+3}}, \frac{i}{4} + \frac{1}{2^{3m+j+2}} \right) \right\}$$

for  $i \in \{0, 1, 2, 3\}$  and  $j \in \{0, 1, 2\}$ . Observe that

$$C_2 = \bigcup_{i \in 4} \bigcup_{j \in 3} Q_{i,j}$$

is a partition of  $C_2$ . In order to conclude the proof of Proposition 3.1 it is now enough to show that for every  $i \in 4$  and  $j \in 3$  the set  $Q_{i,j}$  is IP-regular. This will follow from Lemma 3.7 by Lemma 3.4.

**Lemma 3.7.** Consider  $\kappa \times \omega$  well-ordered by the lexicographic order. The function  $\rho: Q_{i,j} \to \kappa \times \omega$  defined by  $\rho(x) = (\mu(x), m)$  where m is the unique element of  $\omega$  such that

$$\pi_{\mu(x)}(x) \in \left[\frac{i}{4} + \frac{1}{2^{3m+j+3}}, \frac{i}{4} + \frac{1}{2^{3m+j+2}}\right)$$

is a rank function on  $Q_{i,j}$ .

*Proof.* To simplify the notation let us run the proof in the case when i=j=0. The proof in the other cases is analogous. By Remark 3.3 it is enough to show that if x and y are such that  $x, y, x+y \in Q_{0,0}$  then  $\rho(x) \neq \rho(y)$  and  $\rho(x+y) = \min \{\rho(x), \rho(y)\}$ , so suppose that x, y are elements of  $Q_{0,0}$  such that  $x+y \in Q_{0,0}$  and assume by contradiction that  $\rho(x) = \rho(y) = (\alpha, m)$ . Thus

$$\pi_{\alpha}(x), \pi_{\alpha}(y) \in \left[\frac{1}{2^{3m+3}}, \frac{1}{2^{3m+2}}\right)$$

and hence

$$\pi_{\alpha}(x+y) \in \left[\frac{1}{2^{3m+2}}, \frac{1}{2^{3m+1}}\right).$$

If m = 0 then

$$\pi_{\alpha}(x+y) \in \left[\frac{1}{4}, \frac{1}{2}\right)$$

thus

$$x + y \in C_1 \cup Q_{1,0} \cup Q_{1,1} \cup Q_{1,3}$$
.

If m > 0 then

$$\pi_{\alpha}(x+y) \in \left[\frac{1}{2^{3(m-1)+2+3}}, \frac{1}{2^{3(m-1)+2+2}}\right)$$

and therefore

$$x + y \in Q_{0,2}$$
.

In either case one obtains a contradiction from the assumption that  $x + y \in Q_{0,0}$ . This concludes the proof that  $\rho(x) \neq \rho(y)$ . We now claim that  $\rho(x + y) = \min \{\rho(x), \rho(y)\}$ . Define  $\rho(x) = (\alpha, m)$  and  $\rho(y) = (\beta, n)$ . Let us first consider the case when  $\alpha = \beta$  and without loss of generality m > n. In this case

$$\pi_{\xi}(x+y) \in \left\{0, \frac{1}{2}\right\}$$

for  $\xi < \alpha$ , while

$$\pi_{\alpha}\left(x+y\right) \in \left[\frac{1}{2^{3m+3}} + \frac{1}{2^{3n+3}}, \frac{1}{2^{3m+2}} + \frac{1}{2^{3n+2}}\right)$$

where

$$\frac{1}{2^{3m+2}} + \frac{1}{2^{3n+2}} < \frac{1}{2^{3n+1}} < \frac{1}{2^{3(n-1)+3}}.$$

This shows that  $\rho(x+y) = (\alpha, n) = \min \{\rho(x), \rho(y)\}$ . Let us now consider the case when  $\alpha \neq \beta$  and without loss of generality  $\alpha > \beta$ . In this case

$$\pi_{\xi}\left(x+y\right) \in \left\{0, \frac{1}{2}\right\}$$

for  $\xi < \beta$  while

$$\pi_{\beta}(x) = 0$$

(because if not then  $\pi_{\beta}(x) = \frac{1}{2}$  and that would imply that  $x + y \in \bigcup_{j \in 3} Q_{1,j}$ ), and hence

$$\pi_{\beta}(x+y) = \pi_{\beta}(y).$$

This shows that  $\rho(x+y) = (\beta, n) = \min \{\rho(x), \rho(y)\}$ . This concludes the proof of the fact that  $\rho$  satisfies the hypothesis of Remark 3.3 and, hence, it is a rank function on  $Q_{0,0}$ .

#### 4. The class of IP-regular semigroups

In this section all semigroups will be denoted multiplicatively. Let us define  $\mathcal{R}$  to be the class of all IP-regular semigroups. Observe that by Proposition 3.1  $\mathcal{R}$  contains all commutative cancellative semigroups. We will now show that  $\mathcal{R}$  contains all Archimedean commutative semigroups. Recall that a commutative semigroup S is Archimedean if for every  $a,b\in S$  there is a natural number n and an element t of S such that  $a^n=bt$ , see [7, Section III.1]. By [7, Proposition III.1.3] an Archimedean commutative semigroup contains at most one idempotent.

### **Proposition 4.1.** Archimedean commutative semigroups are IP-regular.

Proof. Suppose that S is a commutative Archimedean semigroup. Let us first assume that S has no idempotent elements: In this case by [6, Proposition IV.4.1] there is a congruence  $\mathcal{C}$  on S such that the quotient  $S/\mathcal{C}$  is a commutative cancellative semigroup with no idempotent elements. It follows from Proposition 3.1 that  $S/\mathcal{C}$  is IP-regular, and therefore S is IP-regular by Lemma 2.5. Let us consider now the case when S has a (necessarily unique) idempotent element e. Denote by  $H_e$  the maximal subgroup of S containing e. By [6, Proposition IV.2.3]  $H_e$  is an ideal of S and the quotient  $S/H_e$  is a commutative nilsemigroup, i.e. a commutative semigroup with a zero element such that every element is nilpotent. By Lemma 2.5 and Proposition 3.1 it is therefore enough to show that a commutative nilsemigroup T is IP-regular. Denote by 0 the zero element of T. We claim that

 $T\setminus\{0\}$  is strongly IP-regular (as in Definition 2.1). In fact if  $\vec{x}$  is a sequence in T such that  $FP(\vec{x})$  does not contain 0, then  $x_0 FP(\vec{x})$  is not an IP-set since  $x_0$  is nilpotent. This concludes the proof that T is IP-regular, and hence also the proof of Proposition 4.1.

Let us now comment on the closure properties of the class  $\mathcal{R}$ . By Remark 2.3,  $\mathcal{R}$  is closed with respect to taking subsemigroups, and contains all finite semigroups. Moreover by Proposition 2.6 if a group G has a subnormal series with factor groups in  $\mathcal{R}$ , then G belongs to  $\mathcal{R}$ . In particular  $\mathcal{R}$  contains all virtually solvable groups and their subgroups. Proposition 4.2 shows that free products of elements of  $\mathcal{R}$  with no idempotent elements are still in  $\mathcal{R}$ .

**Proposition 4.2.** Suppose that S,T are semigroups. If both S and T are IP-regular, and T has no idempotent elements, then the free product S\*T is IP-regular.

*Proof.* Denote by  $T_1$  the semigroup obtained from T adding an identity element 1. Consider the semigroup homomorphism from S\*T to  $T_1$  sending a word w to 1 if w does not contain any letters from T, and otherwise sending w to the element of T obtained from w by erasing the letters from S and then taking the product in T of the remaining letters of w. Observe that  $f^{-1}[\{1\}]$  is isomorphic to S and therefore IP-regular. The conclusion now follows from Lemma 2.5.

The particular case of Proposition 4.2 when  $S=T=\mathbb{N}$  lets us obtain that the free semigroup on 2 generators is IP-regular. Considering the function assigning to a word its length, which is a semigroup homomorphism onto  $\mathbb{N}$ , one can see that a free semigroup in any number of generators is IP-regular, since so is  $\mathbb{N}$ . Via Lemma 2.4 this observation gives a short proof of [9, Lemma 2.3].

# 5. The main theorem

We will now present the proof of Theorem 1.4. Suppose that S is a commutative semigroup with well-founded universal semilattice. Denote by  $\mathcal N$  the smallest semilattice congruence on S as in [6, Proposition III.2.1]. Recall that the universal semilattice of S is the quotient  $S/\mathcal N$  by [6, Proposition III.2.2]. Moreover by [7, Theorem III.1.2] every  $\mathcal N$ -equivalence class is an Archimedean subsemigroup of S known as an Archimedean component of S. Pick a nonprincipal strongly summable ultrafilter p on S. If p contains some Archimedean component of S, then p is regular by Lemma 2.4 and Proposition 4.1. Let us then assume that p does not contain any Archimedean component. Denote by  $f: S \to S/\mathcal N$  the canonical quotient map, and by q the ultrafilter on  $S/\mathcal N$  defined by  $B \in q$  if and only if  $f^{-1}[B] \in p$ . By Remark 1.3, q is a nonprincipal strongly productive ultrafilter on  $S/\mathcal N$ , and moreover in order to conclude that p is regular it is enough to show that q is regular. This will follow from Lemma 5.1.

**Lemma 5.1.** If  $\Lambda$  is a well-founded semilattice, then any nonprincipal strongly summable ultrafilter q on  $\Lambda$  is regular.

*Proof.* We can assume without loss of generality that  $\Lambda$  has a maximum element  $x_{\text{max}}$ . For  $x \in \Lambda$ , denote by pred(x) the set

$$\{y \in \Lambda : y \le x \text{ and } y \ne x\}$$

of strict predecessors of x. We will show by well-founded induction that, for every  $x \in \Lambda$ , if  $\operatorname{pred}(x) \in q$  then q is regular. The conclusion will follow from the

observation that  $\operatorname{pred}(x_{\max}) \in q$ . Suppose that x is an element of  $\Lambda$  such that, for every  $y \in \operatorname{pred}(x)$ , if  $\operatorname{pred}(y) \in q$  then q is regular. Suppose that  $\operatorname{pred}(x) \in q$ . If  $\operatorname{pred}(x)$  witnesses the fact that q is regular, then this concludes the proof. Otherwise there is a sequence  $\vec{y}$  in  $\Lambda$  such that  $\operatorname{FP}(\vec{y}) \subset \operatorname{pred}(x)$  and  $y_0 \operatorname{FP}_1(\vec{y}) \in p$ . Observing that  $y_0 \operatorname{FP}_1(\vec{y}) \subset \operatorname{pred}(y_0) \cup \{y_0\}$  allows one to conclude that  $\operatorname{pred}(y_0) \in q$ , where  $y_0 \in \operatorname{pred}(x)$ . Thus by inductive hypothesis q is regular.

This concludes the proof of the fact that a nonprincipal strongly summable ultrafilter on a commutative semigroup with well-founded universal semilattice is regular. We will now show that the same fact holds for solvable inverse semigroups with well-founded semilattice of idempotents. An introduction to inverse semigroups can be found in [6, Chapter VII] or in the monograph [14]. Recall that the semilattice of idempotents of a commutative inverse semigroup is isomorphic to its universal semilattice. The notion of solvable inverse semigroup has been introduced by Piochi in [15] as a generalization of the notion of solvable group to the context of inverse semigroups (solvable groups are thus exactly the solvable inverse semigroups with only one idempotent, see [15, Theorem 3.4]). Observe that by definition a solvable inverse semigroup S of class n+1 has a commutative congruence  $\gamma_S$  such that, if  $f: S \to S/\gamma_S$  is the canonical quotient map, then

$$f^{-1} [E(S/\gamma_S)]$$

is an inverse subsemigroup of S of solvability class n. Moreover the solvable inverse semigroups of solvability class 1 are exactly the commutative semigroups. The fact that solvable inverse semigroups with well-founded semilattice of idempotents satisfy the conclusion of Theorem 1.4 will then follow from Remark 1.3 by induction on the solvability class, after we observe that a homorphic image of a semigroup with well-founded semilattice of idempotents also has a well-founded semilattice of idempotents. This is the content of Lemma 5.2.

**Lemma 5.2.** Suppose that S, T are semigroups, and  $f: S \to T$  is a surjective semigroup homomorphism. If S is an inverse semigroup with well-founded semilattice of idempotents, then so is T.

Proof. By [3, Theorem 7.32] T is an inverse semigroup. If B is a nonempty subset of the idempotent semilattice E(T) of T, let A be the set of idempotent elements a of S such that  $f(a) \in B$ . Since by hypothesis the idempotent semilattice E(S) of S is well-founded, S has a minimal element S is such that S is such that S is a minimal element of S. Suppose that S is such that S is such that S is S in S is S in S is such that S in S

### 6. Sparseness

A strongly productive ultrafilter p on a (multiplicatively denoted) semigroup S is sparse (see [9, Definition 3.9]) if for every  $A \in p$  there are a sequence  $\vec{x} = (x_n)_{n \in \omega}$  in S and a subsequence  $\vec{y} = (x_{k_n})_{n \in \omega}$  of  $\vec{x}$  such that:

- $\operatorname{FP}(\vec{y}) \in p$ ;
- $\operatorname{FP}(\vec{x}) \subset A$ :

•  $\{k_n : n \in \omega\}$  is coinfinite in  $\omega$ .

Suppose that  $\mathbb{F}$  is the partial semigroup of finite nonempty subsets of  $\omega$ , where, for  $a,b\in\mathbb{F}$  the product ab is defined and equal to  $a\cup b$  if and only if  $\max(a)<\min(b)$ . A strongly productive ultrafilter on the partial semigroup  $\mathbb{F}$  is an ordered union ultrafilter as defined in [1, page 92]. A strongly productive ultrafilter p on a multiplicatively denoted semigroup S is multiplicatively isomorphic to an ordered union ultrafilter if there is a sequence  $\vec{x}$  such that the function

$$f: \quad \mathbb{F} \to \quad FP(\vec{x})$$

$$a \mapsto \quad \prod_{i \in a} x_i$$

is injective, and furthermore

$$\left\{f^{-1}[A]:A\in p\right\}$$

is an ordered union ultrafilter.

**Lemma 6.1.** If p is multiplicatively isomorphic to an ordered union ultrafilter, then p is sparse strongly productive. In particular every ordered union ultrafilter is sparse.

*Proof.* Suppose that the sequence  $\vec{x}$  in S and the function  $f: \mathbb{F} \to \mathrm{FP}(\vec{x})$  witness the fact that p is multiplicatively isomorphic to an ordered union ultrafilter. Fix an element B of p, and observe that

$$q = \left\{ f^{-1} \left[ A \cap B \right] : A \in p \right\}$$

is an ordered union ultrafilter. Therefore there is a sequence  $\vec{b}$  in  $\mathbb{F}$  such that all the products from  $\vec{b}$  are defined (equivalently,  $\max(b_i) < \min(b_{i+1})$  for every  $i \in \omega$ ), and  $\operatorname{FP}(\vec{b}) \in q$ . Moreover by [13, Theorem 4] (see also [11, Theorem 2.6]) there is an element W of q contained in  $\operatorname{FP}(\vec{b})$  such that  $\bigcup W$  has infinite complement in  $\bigcup_{i \in \omega} b_i$ . Denote by D the set of  $i \in \omega$  such that  $b_i \subset \bigcup W$ . Observe that

$$\bigcup_{i \in D} b_i = \bigcup W$$

and

$$W \subset \operatorname{FP}\left((b_i)_{i \in D}\right)$$
.

In particular D has infinite complement in  $\omega$  and  $\operatorname{FP}((b_i)_{i\in D})$  belongs to q. Therefore the sequence  $\overrightarrow{x}$  in S such that  $x_i = f(b_i)$  for every  $i \in \omega$  is such that  $\operatorname{FP}(\overrightarrow{x}) \subset B$  and  $\operatorname{FP}((x_i)_{i\in D}) \in p$ , witnessing the fact that p is sparse strongly productive.  $\square$ 

We will now define a condition on sequences that ensures the existence of a multiplicative isomorphism with an ordered union ultrafilter. This can be seen as a noncommutative analogue of the notion of *strong uniqueness of finite sums* introduced in [11, Definition 3.1] in a commutative context.

**Definition 6.2.** A sequence  $\vec{x}$  in a semigroup S satisfies the ordered uniqueness of finite products if the function

$$\begin{array}{ccc} f: \mathbb{F} & \to & \mathrm{FP}(\vec{x}) \\ a & \mapsto & \prod_{i \in a} x_i \end{array}$$

is an isomorphism of partial semigroups from  $\mathbb{F}$  to  $\operatorname{FP}(\vec{x})$ . Equivalently f is injective and if a,b are elements of  $\mathbb{F}$  such that  $f(a)f(b) \in \operatorname{FP}(\vec{x})$ , then the maximum element of a is strictly smaller than the minimum element of b.

For example suppose that S is the free semigroup on countably many generators  $\{s_n : n \in \omega\}$ . It is not difficult to see that the sequence  $(s_n)_{n \in \omega}$  in S satisfies the ordered uniqueness of finite products..

**Remark 6.3.** If a strongly productive ultrafilter p on S contains  $\operatorname{FP}(\vec{x})$  for some sequence  $\vec{x}$  in S satisfying the ordered uniqueness of finite products, then p is multiplicatively isomorphic to an ordered union ultrafilter.

Remark 6.3 follows immediately from the fact that an ordered union ultrafilter is just a strongly productive ultrafilter on the partial semigroup  $\mathbb{F}$ .

The following immediate consequence of Remark 6.3 and Lemma 6.1 can be seen as a noncommutative analogue of [11, Theorem 3.2] (see also [4, Corollary 2.9]).

**Corollary 6.4.** Let p be a strongly productive ultrafilter on a semigroup S. If p contains  $FP(\vec{x})$  for some sequence  $\vec{x}$  satisfying the ordered uniqueness of finite products, then p is sparse.

In the remainder of this section, we will present an application of Corollary 6.4 to a question of Neil Hindman and Lakeshia Legette Jones from [9] about *very strongly productive* ultrafilters on the free semigroup on countably many generators.

Recall that a sequence  $\vec{y}$  on a semigroup S is a product subsystem of the sequence  $\vec{x}$  in S if there is a sequence  $(a_n)_{n\in\omega}$  in  $\mathbb F$  such that  $y_n=\prod_{i\in a_n}x_i$  and the maximum element of  $a_n$  is strictly smaller than the minimum element of  $a_{n+1}$  for every  $n\in\omega$ . Suppose that S is the free semigroup on countably many generators, and  $\vec{s}$  is an enumeration of its generators. A very strongly productive ultrafilter on S as in [9, Definition 1.2] is an ultrafilter p on S generated by sets of the form  $FP(\vec{x})$  where  $\vec{x}$  is a product subsystem of  $\vec{s}$ .

**Theorem 6.5.** Every very strongly productive ultrafilter on the free semigroup S is multiplicatively isomorphic to an ordered union ultrafilter, and hence sparse.

*Proof.* Observe that by [9, Theorem 4.2] very strongly productive ultrafilters on S are exactly the strongly productive ultrafilters containing  $FP(\vec{s})$  as an element. In particular, since the sequence  $\vec{s}$  satisfies the ordered uniqueness of finite products, all very strongly productive ultrafilters on S are multiplicatively isomorphic to ordered union ultrafilters by Remark 6.3, and hence sparse by Lemma 6.1.

Theorem 6.5 answers Question 3.26 from [9]. Corollary 3.11 of [9] asserts that a sparse very strongly productive ultrafilter on S can be written only trivially as a product of ultrafilters on the free group on the same generators. Since by Theorem 6.5 any very strongly productive ultrafilter on S is sparse, one can conclude that the conclusion of [9, Corollary 3.11] holds for any very strongly productive ultrafilter on S. This is the content of Corollary 6.6.

**Corollary 6.6.** Let G be the free group on the sequence of generators  $\vec{s}$ , and let S be the free semigroup on the same generators. Suppose that p is a very strongly productive ultrafilter on S. If q, r are ultrafilters on G such that qr = p, then there is an element w of G such that one of the following statements hold:

(1) 
$$r = wp \text{ and } q = pw^{-1}$$
:

- (2)  $r = w \text{ and } q = pw^{-1};$
- (3)  $r = wp \text{ and } q = w^{-1}$ .

In particular, if  $q, r \in G^*$  are such that qr = p, then r = wp and  $q = pw^{-1}$  for some  $w \in G$ .

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